

On Toda's Theorem in structural communication complexity

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Outline

Communication complexity

Yao's Model

Structural complexity theory

Definition of complexity classes
Inclusion relations

Structural communication complexity

Complexity classes and operators
Valiant-Vazirani-Lemma
Toda's Theorem

Yao's Model

Definition (Yao's Model)

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- ▶ Distributed inputs: Alice $x \in \mathcal{X}$, Bob $y \in \mathcal{Y}$

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- ▶ Distributed inputs: Alice $x \in \mathcal{X}$, Bob $y \in \mathcal{Y}$
- ▶ Players send messages (bits);
communication is specified by a protocol

Protocol variants

Analogously to Turing machines, protocols can be

- ▶ **deterministic** : messages depend only on the player's input and the messages sent before
- ▶ randomized
- ▶ guess protocols
- ▶ alternating
- ▶ ...

Protocol variants

Analogously to Turing machines, protocols can be

- ▶ deterministic
- ▶ **randomized** :
 - ▶ players have random source
 - ▶ messages may also depend on random source
 - ▶ output is a random variable \leadsto we may allow error
- ▶ guess protocols
- ▶ alternating
- ▶ ...

Protocol variants

Analogously to Turing machines, protocols can be

- ▶ deterministic
- ▶ randomized
- ▶ **guess protocols** :
 - ▶ output is 0 (rejecting) or 1 (accepting)
 - ▶ players may guess bits (guess strings)
 - ▶ players accept input (x, y) if $C(\text{acc}(x, y), \text{rej}(x, y))$ holds
 - ▶ $C(\text{acc}, \text{rej})$ accepting mode
 - ▶ $\text{acc}(x, y) :=$ number of guess strings leading to an accepting output
 - ▶ analogously for $\text{rej}(x, y)$
- ▶ alternating
- ▶ ...

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- ▶ deterministic
- ▶ randomized
- ▶ guess protocols
- ▶ **alternating** :
 - ▶ output is 0 (rejecting) or 1 (accepting)
 - ▶ players may guess bits existentially \exists or universally \forall
 - ▶ input acceptance defined analogously to TM
- ▶ ...

What is "Structural complexity theory"?

First of all, for every computation model there exists a structural theory!

Computation models

- ▶ Turing machine/ Register machine.

Resources

- ▶ Time, Space.

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Variants

- ▶ Randomization
- ▶ Guess strings + accepting modes
- ▶ Alternation
- ▶ ...

What is "Structural complexity theory"?

Some features/recurring themes ...

- ▶ **Definition of complexity classes** containing
 - ▶ decision problems
 - ▶ functions
 - ▶ optimization problems

What is "Structural complexity theory"?

Some features/recurring themes ...

- ▶ Definition of complexity classes .
- ▶ Comparisons of classes (Inclusion relations).

Example

- ▶ $P \neq NP$?
- ▶ $BPP \subseteq PSPACE$.
- ▶ $PH^{cc} = PSPACE^{cc}$?
- ▶ ...

What is "Structural complexity theory"?

Some features/recurring themes . . .

- ▶ Definition of complexity classes .
- ▶ Comparisons of classes (Inclusion relations).
- ▶ Reductions, hardness/completeness.

Example

- ▶ Many-one reduction $A \leq_m B$ defined by

\exists reduction f such that $x \in A \Leftrightarrow f(x) \in B$ for all x .

- ▶ ...

What is "Structural complexity theory"?

Some features/recurring themes ...

- ▶ ...
- ▶ Reductions, hardness/completeness.
- ▶ Closure properties.

Example

- ▶ set theoretic operations: $A, B \in \mathcal{C} \Rightarrow A \cup B \in \mathcal{C} ?$
- ▶ closure under reductions: $A \leq_m B$ and $B \in \mathcal{C} \Rightarrow A \in \mathcal{C} ?$
- ▶ closure under class operators: $BP \cdot \mathcal{C} \subseteq \mathcal{C} ?$
- ▶ ...

What is "Structural complexity theory"?

Some features/recurring themes ...

- ▶ Definition of complexity classes .
- ▶ Comparisons of classes (Inclusion relations).
- ▶ Reductions, hardness/completeness.
- ▶ Closure properties.
- ▶ **Relativization.**

Example

- ▶ there exist A, B with $\mathbf{PH}^A = \mathbf{PSPACE}^A$ and $\mathbf{PH}^B \neq \mathbf{PSPACE}^B$.
- ▶ $\mathbf{BPP}(\mathbf{BPP}) = \mathbf{BPP}$.
- ▶ ...

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Some features/recurring themes ...

- ▶ Definition of complexity classes .
- ▶ Comparisons of classes (Inclusion relations).
- ▶ Reductions, hardness/completeness.
- ▶ Closure properties.
- ▶ Relativization.
- ▶ ...

Definition of complexity classes

The definition of a complexity class is often based on ...

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Definition (Class "P")

"P": class of decision problems that can be decided efficiently deterministically

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- ▶ Variant of a computation model
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Definition (Class "P")

"P": class of decision problems that can be decided efficiently deterministically

Definition (Class "BPP")

"BPP": class of decision problems that can be decided efficiently randomized with bounded error

Definition of classes

Definition (Class "PSPACE")

"PSPACE": class of decision problems that can be decided efficiently with an efficient number of alternations

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Definition of classes

Definition (Class "PSPACE")

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Definition ("Polynomial Hierarchy")

- ▶ " Σ_k ": class of decision problems that can be decided efficiently with $k - 1$ alternations starting in \exists -state
- ▶ "PH" := $\cup_{k \geq 0} \Sigma_k$ = constant number of alternations

Definition of classes

Definition ("Counting classes")

" μP ": class of decision problems that can be decided efficiently using guess strings and accepting mode μ . Especially, we have

"NP": $N(acc, rej) := (acc > 0)$ (nondeterministic)

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"co-NP": $co-N(acc, rej) := (rej = 0)$ (co-nondeterministic)

"PP": $P(acc, rej) := (acc > rej)$

" $\oplus P$ ": $\oplus(acc, rej) := (acc \bmod 2 = 1)$ (parity)

Inclusion relations

Observation (true for every model)

- ▶ $"P" \subseteq "BPP" \subseteq "PP" \subseteq "PSPACE"$
- ▶ $"P" \subseteq "NP", "co-NP" \subseteq "PH" \subseteq "PSPACE"$
- ▶ $"P" \subseteq "PP", "\oplus P" \subseteq "PSPACE"$

Inclusion relations

Observation

- ▶ $P \subsetneq (?) BPP \subsetneq (?) PP \subsetneq (?) PSPACE$
- ▶ $P \subsetneq (?) NP, \text{co-NP} \subsetneq (?) PH \subsetneq (?) PSPACE$
- ▶ $P \subsetneq (?) PP, \oplus P \subsetneq (?) PSPACE$
- ▶ NP vs. $\text{co-NP} (?)$; NP vs. $PP (?)$; NP vs. $\oplus P (?)$;
 PP vs. $\oplus P (?)$; ...

Two examples

- ▶ Turing machine model: we don't know anything ...

Inclusion relations

Observation

- ▶ $P^{cc} \subsetneq BPP^{cc} \subsetneq PP^{cc} \subsetneq PSPACE^{cc}$
- ▶ $P^{cc} \subsetneq NP^{cc}, co-NP^{cc} \subsetneq PH^{cc};$
- ▶ $P^{cc} \subsetneq PP^{cc}, \oplus P^{cc} \subsetneq PSPACE^{cc}$
- ▶ $(NP^{cc}, co-NP^{cc}), (NP^{cc}, PP^{cc}), (NP^{cc}, \oplus P^{cc}),$
 $(PP^{cc}, \oplus P^{cc})$ incomparable, ...

Two examples

- ▶ Turing machine model: we don't know anything ...
- ▶ Yao's model: we know a lot ...

Inclusion relations

Observation

- ▶ $P^{cc} \subsetneq BPP^{cc} \subsetneq PP^{cc} \subsetneq PSPACE^{cc}$
- ▶ $P^{cc} \subsetneq NP^{cc}, co-NP^{cc} \subsetneq PH^{cc}; PH^{cc} \subsetneq (?) PSPACE^{cc}$
- ▶ $P^{cc} \subsetneq PP^{cc}, \oplus P^{cc} \subsetneq PSPACE^{cc}$
- ▶ $(NP^{cc}, co-NP^{cc}), (NP^{cc}, PP^{cc}), (NP^{cc}, \oplus P^{cc}),$
 $(PP^{cc}, \oplus P^{cc})$ incomparable, ...

Two examples

- ▶ Turing machine model: we don't know anything ...
- ▶ Yao's model: we know a lot , but not everything ...

Inclusion relations

Long-standing open problem

Do we have $\text{PH}^{\text{cc}} \subsetneq \text{PSPACE}^{\text{cc}}$?

Inclusion relations

Long-standing open problem

Do we have $\text{PH}^{\text{cc}} \subsetneq \text{PSPACE}^{\text{cc}}$?

Recall

Theorem (Toda)

$$\text{PH} \subseteq \text{BP} \cdot \oplus \text{P}$$

- ▶ PH^{cc} and $\text{PSPACE}^{\text{cc}}$ based on alternations
- ▶ $\text{BP} \cdot \oplus \text{P}^{\text{cc}}$ **NOT** based on alternations

Inclusion relations

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Do we have $\text{PH}^{\text{cc}} \subsetneq \text{PSPACE}^{\text{cc}}$?

Proof strategy for open problem (milestones)

- ▶ Show Toda's Theorem in cc

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- ▶ Develop measure ν for $\text{BP} \cdot \oplus \text{P}^{\text{cc}}$

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Proof strategy for open problem (milestones)

- ▶ Show Toda's Theorem in cc
- ▶ Develop measure ν for $\text{BP} \cdot \bigoplus \text{P}^{\text{cc}}$
- ▶ Show strict inclusion using ν :

$$\text{BP} \cdot \bigoplus \text{P}^{\text{cc}} \subsetneq \text{PSPACE}^{\text{cc}}$$

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- ▶ Show strict inclusion using ν :

$$\mathbf{BP} \cdot \bigoplus \mathbf{P}^{\text{cc}} \subsetneq \mathbf{PSPACE}^{\text{cc}} \text{ (not yet)}$$

Complexity classes

Formal languages

Definition

- ▶ $\{0, 1\}^{**} := \{(x, y) \mid x, y \in \{0, 1\}^*, |x| = |y|\}$
- ▶ Formal language $L \subseteq \{0, 1\}^{**}$
- ▶ $L_n := L \cap \{(x, y) \in \{0, 1\}^{**} \mid |x| = |y| = n\}$

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A protocol family $(\Pi_n)_{n \in \mathbb{N}}$ **decides** a language L if each Π_n decides L_n .

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Definition (poly)

poly := class of functions with polynomial growth.

Complexity classes

Reductions

Definition (Reductions)

Languages L and L'

- ▶ L is **many-one reducible to** L' iff
 - ▶ \exists bound $b \in \mathbf{poly}$
 - ▶ \exists family $\{(f_n, g_n)\}_{n \in \mathbb{N}}$, $f_n, g_n: \{0, 1\}^n \rightarrow \{0, 1\}^{\lceil 2^{b(\log n)} \rceil}$
 - ▶ $(x, y) \in L \iff (f_n(x), g_n(y)) \in L'$ for all (x, y)

Complexity classes

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 - ▶ $(x, y) \in L \iff (f_n(x), g_n(y)) \in L'$ for all (x, y)
- ▶ Turing reduction
- ▶ Majority reduction
- ▶ Conjunctive reduction
- ▶ ...

Complexity classes

Class operators

Definition (Class operators I)

Language L , bound $p \in \mathbf{poly}$

$$\forall^P(L) := \{(x, y) \in \{0, 1\}^{**} \mid \forall w \in \{0, 1\}^{\lceil p(\log |x|) \rceil} : (\langle x, w \rangle, \langle y, w \rangle) \in L\} ,$$

$$\exists^P(L) := \{(x, y) \in \{0, 1\}^{**} \mid \exists w \in \{0, 1\}^{\lceil p(\log |x|) \rceil} : (\langle x, w \rangle, \langle y, w \rangle) \in L\} ,$$

$$\oplus^P(L) := \{(x, y) \in \{0, 1\}^{**} \mid |\{w \in \{0, 1\}^{\lceil p(\log |x|) \rceil} \mid (\langle x, w \rangle, \langle y, w \rangle) \in L\}| \bmod 2 = 1\} .$$

Complexity classes

Class operators

Definition (Class operators II)

Class \mathcal{C}

$$\text{co} \cdot \mathcal{C} := \{\bar{L} \mid L \in \mathcal{C}\} ,$$

$$\forall \cdot \mathcal{C} := \{\forall^P(L) \mid L \in \mathcal{C}, p \in \mathbf{poly}\} ,$$

$$\exists \cdot \mathcal{C} := \{\exists^P(L) \mid L \in \mathcal{C}, p \in \mathbf{poly}\} ,$$

$$\oplus \cdot \mathcal{C} := \{\oplus^P(L) \mid L \in \mathcal{C}, p \in \mathbf{poly}\} .$$

Complexity classes

Class operators

Definition (Class operators II)

Language L is in $\text{BP} \cdot \mathcal{C}$ iff

- ▶ \exists language $L' \in \mathcal{C}$ and \exists bound $q \in \text{poly}$
- ▶ for all (x, y) we have

$$(x, y) \in L \Rightarrow \frac{|\{r \in \{0, 1\}^{\lceil q(\log n) \rceil} \mid (\langle x, r \rangle, \langle y, r \rangle) \in L'\}|}{2^{\lceil q(\log n) \rceil}} \geq \frac{2}{3}$$

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Complexity classes

Class operators

Observation (Compatibility)

$$\begin{aligned}\mathbf{NP}^{\text{cc}} &= \exists \cdot \mathbf{P}^{\text{cc}} , \\ \mathbf{co-NP}^{\text{cc}} &= \forall \cdot \mathbf{P}^{\text{cc}} , \\ \oplus \mathbf{P}^{\text{cc}} &= \oplus \cdot \mathbf{P}^{\text{cc}} , \\ \mathbf{BPP}^{\text{cc}} &= \mathbf{BP} \cdot \mathbf{P}^{\text{cc}} .\end{aligned}$$

Complexity classes

Classes based on alternations

Definition (Polynomial hierarchy)

$$\mathbf{PH}^{\text{cc}} := \bigcup_{k \geq 0} \Sigma_k^{\text{cc}} ,$$

$$\Sigma_0^{\text{cc}} := \mathbf{P}^{\text{cc}} ,$$

$$\Sigma_{k+1}^{\text{cc}} := \exists \cdot \text{co} \cdot \Sigma_k^{\text{cc}} .$$

Remark

New and old definition of \mathbf{PH}^{cc} are equivalent.

Valiant-Vazirani-Lemma

Classical result in structural (time) complexity:

Lemma (Valiant-Vazirani)

$$\mathbf{NP} \subseteq \mathbf{BP} \cdot \oplus \mathbf{P}.$$

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- ▶ randomised reduction of SAT to \oplus SAT
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- ▶ ϕ unsatisfiable $\Rightarrow f(\phi)$ unsatisfiable

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Classical result in structural (time) complexity:

Lemma (Valiant-Vazirani)

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Idea:

- ▶ randomised reduction of SAT to $\oplus\text{SAT}$
- ▶ CNF $\phi \mapsto \text{CNF } f(\phi) := \phi \wedge \psi(R)$
- ▶ ϕ unsatisfiable $\Rightarrow f(\phi)$ unsatisfiable
- ▶ ϕ satisfiable \Rightarrow with non-negligible probability:

$\#\text{satisfying assignments}(f(\phi)) = 1$.

Valiant-Vazirani-Lemma

This relativizes:

Lemma (Valiant-Vazirani (relativized))

$\text{NP}^A \subseteq \text{BP} \cdot \oplus \text{P}^A$ for every oracle A .

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Transferring the respective proof to the cc-setting is not possible because of seemingly non-relativizing reductions.

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Open question

Do we have $\text{NP}^{\text{cc}}(A) \subseteq \text{BP} \cdot \bigoplus \text{P}^{\text{cc}}(A)$ in structural communication complexity?

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Remark

Relativized version of V.V.-Lemma is used in the original proof of Toda's Theorem.

Valiant-Vazirani-Lemma

Question

How can we show Toda's Theorem *without a relativized Valiant-Vazirani-Lemma?*

Valiant-Vazirani-Lemma

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Solution

1. There is a version of the V.V.-Lemma using class operators that can be transferred to the cc-setting due to Lance Fortnow using ideas of Harry Buhrman.
2. There is a proof of Toda's Theorem using class operators due to Uwe Schöning.

Valiant-Vazirani-Lemma

Lemma (Valiant-Vazirani (cc-version))

Class \mathcal{C} normal and closed under conjunctive reductions. Then

$$\exists \cdot \mathcal{C} \subseteq \text{BP} \cdot \oplus \cdot \mathcal{C} .$$

Definition (Normal)

Class \mathcal{C} is **normal** iff

- ▶ $\text{P}^{\text{cc}} \subseteq \mathcal{C}$
- ▶ \mathcal{C} closed under P^{cc} -intersection
- ▶ \mathcal{C} closed under P^{cc} -union

Valiant-Vazirani-Lemma

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Idea

▶ let $L = \exists^P(L') \in \exists \cdot \mathcal{C}$

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- ▶ let $L = \exists^P(L') \in \exists \cdot \mathcal{C}$
- ▶ randomly choose field $F := \text{GF}(2^m)$

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Idea

- ▶ let $L = \exists^P(L') \in \exists \cdot \mathcal{C}$
- ▶ randomly choose field $F := \text{GF}(2^m)$
- ▶ randomly choose $(a, b) \in F^2$

Valiant-Vazirani-Lemma

Idea

- ▶ let $L = \exists^P(L') \in \exists \cdot \mathcal{C}$
- ▶ randomly choose field $F := \text{GF}(2^m)$
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- ▶ \rightsquigarrow define $L'' \in \mathcal{C}$ by

$$L'' := \{(\langle x, r \rangle, w), (\langle y, r \rangle, w) \mid r = \langle m, a, b \rangle; a, b \in \text{GF}(2^m); p_w(a) = b; (\langle x, w \rangle, \langle y, w \rangle) \in L'\}$$

Toda's Theorem

In time complexity we have

Theorem (Toda)

$$\mathbf{PH} \subseteq \mathbf{BP} \cdot \bigoplus \mathbf{P}$$

Toda's Theorem

Analogously, in the cc-setting we have

Theorem (Toda)

$$\mathbf{PH}^{\text{cc}} \subseteq \mathbf{BP} \cdot \bigoplus \mathbf{P}^{\text{cc}}$$

What does this tell us?

Randomization+computing parity is as powerful as every constant number of alternations

Toda's Theorem

Proof sketch of $\Sigma_k^{cc} \subseteq \text{BP} \cdot \bigoplus \mathbf{P}^{cc}$:

Proof by induction on k : Case $k \rightarrow k + 1$:

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We have seen Toda's Theorem: $\mathbf{PH}^{\text{cc}} \subseteq \mathbf{BP} \cdot \bigoplus \mathbf{P}^{\text{cc}}$.

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Conjecture

$$\mathbf{PH}^{\text{cc}} \neq \mathbf{PSPACE}^{\text{cc}}$$